## <span id="page-0-0"></span>Zigzag Persistence and Fractals

### Tony Zeng

University of Washington

November 2024

Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-33-0) November 2024 1/17

€⊡

A d-dimensional simplex is the convex hull of  $d + 1$  points.

4 D F

∢母→

 $\leftarrow \equiv$   $\rightarrow$ 

重

A d-dimensional **simplex** is the convex hull of  $d + 1$  points.

### Definition

A simplicial complex is a set of simplices  $K$  closed under taking faces and intersections.



### **Background**

#### Definition

The Vietoris-Rips Complex (with parameter  $\delta$ ) of a set of points in a metric space is the simplicial complex consisting of all simplices of points whose diameter is less than  $\delta$ .



### **Background**

#### **Definition**

The **Vietoris-Rips Complex** (with parameter  $\delta$ ) of a set of points in a metric space is the simplicial complex consisting of all simplices of points whose diameter is less than  $\delta$ .



#### Remark

Given  $\delta_1 < \delta_2 < \ldots < \delta_m$ , let K<sub>i</sub> denote the Vietoris-Rips Complex associated with  $\delta_i.$  Then  $\mathcal{K}_1 \leq \mathcal{K}_2 \leq \ldots \leq \mathcal{K}_m$  forms a filtration of simplicial complexes.

Tony Zeng (University of Washington) and [Zigzag Persistence and Fractals](#page-0-0) and November 2024 3/17

Given a filtration

$$
K_1 \leq K_2 \leq \cdots \leq K_m = K
$$

of a simplicial complex K, the  $q$ -dimensional persistent homology groups are images of the maps

$$
(\iota_{s,t})_*: H_q(K_s; \mathbb{F}) \to H_q(K_t; \mathbb{F}),
$$

for  $0\leq s\leq t\leq m$ . The ranks  $\beta^q_{s,t}=$  rank $(\iota_{s,t})_*$  are the  ${\sf persistent\; Betti}$ numbers, which encode geometric/topological information.

### Persistent Homology



 $\leftarrow$   $\Box$ 

 $\leftarrow$   $\leftarrow$   $\leftarrow$ 

ă

 $\Rightarrow$ 

 $\prec$ 

### Persistent Homology



 $\leftarrow$   $\Box$ 

 $\leftarrow$   $\leftarrow$   $\leftarrow$ 

ă

 $\Rightarrow$ 

×.

An <mark>iterated function system</mark> (or **IFS**) is a set of maps  $f_i:\mathbb{R}^n\to\mathbb{R}^n$  of the form

$$
f_i(x)=\rho_i U_i x + b_i,
$$

where  $\rho_i \in (-1,1)$ ,  $U_i$  is orthogonal, and  $b_i \in \mathbb{R}^n$ .

4 0 8

An <mark>iterated function system</mark> (or **IFS**) is a set of maps  $f_i:\mathbb{R}^n\to\mathbb{R}^n$  of the form

$$
f_i(x)=\rho_i U_i x + b_i,
$$

where  $\rho_i \in (-1,1)$ ,  $U_i$  is orthogonal, and  $b_i \in \mathbb{R}^n$ .

We will be taking a look at a *fixed set* or *attractor* of a particular one-parameter family of IFSs,

An <mark>iterated function system</mark> (or **IFS**) is a set of maps  $f_i:\mathbb{R}^n\to\mathbb{R}^n$  of the form

$$
f_i(x)=\rho_i U_i x + b_i,
$$

where  $\rho_i \in (-1,1)$ ,  $U_i$  is orthogonal, and  $b_i \in \mathbb{R}^n$ .

We will be taking a look at a *fixed set* or *attractor* of a particular one-parameter family of IFSs, i.e. a set S such that

$$
S=\bigcup_i f_i(S).
$$

Theorem (Hutchinson, 1981)

For any IFS, there exists a unique non-empty compact fixed set S.

4 0 8

### Theorem (Hutchinson, 1981)

For any IFS, there exists a unique non-empty compact fixed set S.

Consider the IFS given by

$$
f_i(x) = \frac{1}{2}(R_{i\beta}x + e_1), \quad i \in \{-1, 0, 1\}
$$

(where  $R_{\alpha}$  denotes counterclockwise rotation about the origin by angle  $\alpha$ ).

### Theorem (Hutchinson, 1981)

For any IFS, there exists a unique non-empty compact fixed set S.

Consider the IFS given by

$$
f_i(x) = \frac{1}{2}(R_{i\beta}x + e_1), \quad i \in \{-1, 0, 1\}
$$

(where  $R_{\alpha}$  denotes counterclockwise rotation about the origin by angle  $\alpha$ ). What does the attractor of this IFS look like?

Standard strategy to generate approximate images of the attractor of an IFS is a follows.

- Pick some initial point  $x_0$ .
- Let  $x_{i+1} = f(x_i)$ , where f is sampled uniformly at random from  $\{f_i\}$ .
- Plot  $\{x_0, x_1, ...\}$ .

 $\Omega$ 

Standard strategy to generate approximate images of the attractor of an IFS is a follows.

- Pick some initial point  $x_0$ .
- Let  $x_{i+1} = f(x_i)$ , where f is sampled uniformly at random from  $\{f_i\}$ .
- Plot  $\{x_0, x_1, ...\}$ .

#### Example

We see that for  $\beta = 2\pi/3$ , the attractor of this IFS is the Sierpinski Triangle.

What about other values of  $\beta$ ?

 $\Rightarrow$ 

**K ロ ▶ K 何 ▶** 

ă

## Sierpinski Family

What about other values of  $\beta$ ?



4 D F

Þ

#### Question

- What can we say about this family objects?
- For what parameters  $\beta$  are the attractors of this IFS topologically distinct?
- What can we detect?

Myers, Muñoz, Khasawneh, and Munch<sup>1</sup> devised the notion of zigzag analysis to extract information from a time varying simplicial complex.

 $1$ Myers, Muñoz, Khasawneh, and Munch. (2023). Temporal network analysis using zigzag persistence. EPJ Data Science, 12(1):6  $QQQ$ 

Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-0-0) November 2024 11/17

Myers, Muñoz, Khasawneh, and Munch<sup>1</sup> devised the notion of zigzag analysis to extract information from a time varying simplicial complex.



 $<sup>1</sup>$ Myers, Muñoz, Khasawneh, and Munch. (2023). Temporal network analysis using</sup> zigzag persistence. EPJ Data Science, 12(1):6 €⊡  $290$ 

Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-0-0) November 2024 11/17

Myers, Muñoz, Khasawneh, and Munch<sup>1</sup> devised the notion of zigzag analysis to extract information from a time varying simplicial complex.



 $<sup>1</sup>$ Myers, Muñoz, Khasawneh, and Munch. (2023). Temporal network analysis using</sup> zigzag persistence. EPJ Data Science, 12(1):6  $\Omega$ 

Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-0-0) November 2024 11/17

# Zigzag Persistence



(a) Full Rail Travel Network.



(b) Zero-dimensional zigzag persistence.



(c) One-dimensional zigzag persistence.

**K ロ ト K 伊 ト K** 

ă



Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-0-0) November 2024 13/17

4日下

∢●●

ă

Э×



There are two things we need to proceed.

4 0 8

∍



There are two things we need to proceed.

**1** Reasonable coherent/consistent method of sampling from our attractors.



There are two things we need to proceed.

- $\bullet$  Reasonable coherent/consistent method of sampling from our attractors.
- <sup>2</sup> Sensible choice of distance for the Vietoris-Rips complex.

Fix some initial point  $p$  and depth  $n$ . We take our sample to be

$$
f_{i_1}\circ\cdots\circ f_{i_n}(p),
$$

for all  $(i_1, \ldots, i_n) \in \{-1, 0, 1\}^n$ .

4 D F

 $298$ 

Fix some initial point  $p$  and depth  $n$ . We take our sample to be

 $f_{i_1} \circ \cdots \circ f_{i_n}(p),$ 

for all  $(i_1,\ldots,i_n)\in\{-1,0,1\}^n$ . This gives a reasonable sampling scheme due to continuity with respect to  $\beta$ .

 $QQ$ 

Note that our attractors are scaled differently depending on  $\beta$ . Therefore, the distance parameter for the Vietoris-Rips complex should also depend on  $\beta$ .

4 D F

Þ

Note that our attractors are scaled differently depending on  $\beta$ . Therefore, the distance parameter for the Vietoris-Rips complex should also depend on β. Consider

$$
\delta = \frac{2+\varepsilon}{2^n} \cdot \sin\left(\frac{\beta}{2}\right).
$$

◂**◻▸ ◂<del>⁄</del>** ▸

э

# Zigzag Persistence Diagram



イロト イ部 トイヨ トイヨト 重  $2990$ 

## Zigzag Persistence Diagram



Tony Zeng (University of Washington) [Zigzag Persistence and Fractals](#page-0-0) November 2024 16/17

4 □

Þ

#### <span id="page-33-0"></span>Thanks!

イロト イ部 トイヨ トイヨト

Ε